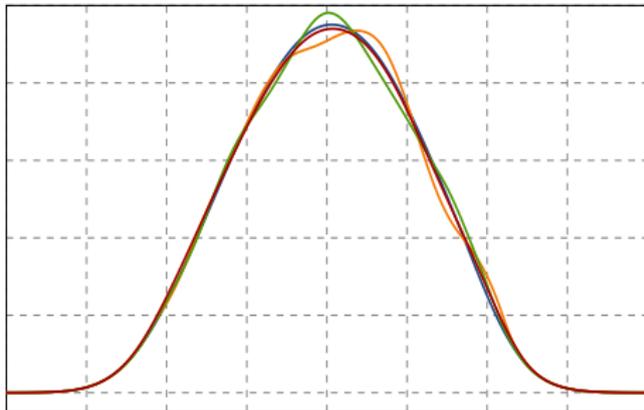
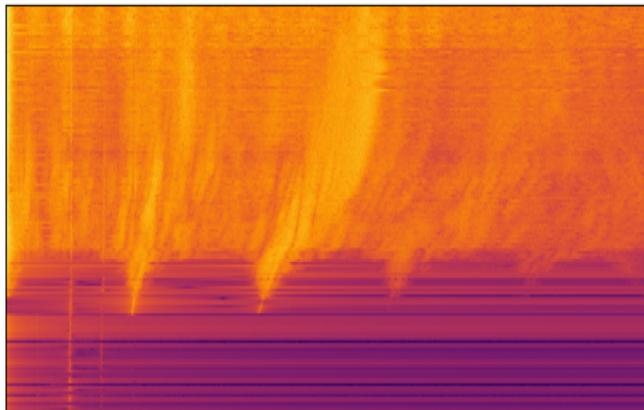


Machine Learning Application on the Investigation of the Micro-Bunching Instability at Storage Rings

Tobias Boltz, Miriam Brosi, Erik Bründermann, Patrik Schönfeldt, Markus Schwarz, Minjie Yan and Anke-Susanne Müller | March 2, 2018

Laboratory for Applications of Synchrotron Radiation (LAS)



Motivation and Introduction

- operation of storage rings with short electron bunches increases coherent synchrotron radiation (CSR) power
- leads to micro-structure dynamics within the bunch

measurements

- indirect: resulting fluctuations in the emitted CSR power
 - direct: electron distribution, challenging due to the small scale of the micro-structures
- ⇒ KIT storage ring KARA (KARlsruhe Research Accelerator) has a dedicated short-bunch mode
- ⇒ synchronized sensor network (e.g. KAPTURE⁽¹⁾ and KALYPSO⁽²⁾) enables studies of beam dynamics turn-by-turn

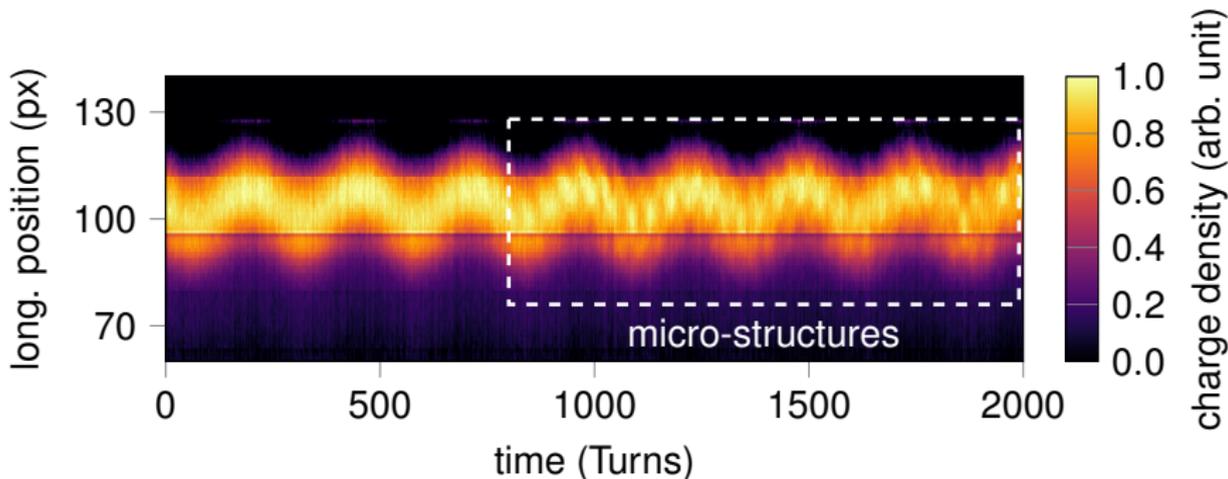
⁽¹⁾ Caselle, M. *et al.* JINST 12 C01040 (2017)

⁽²⁾ Rota, L., Caselle, M. *et al.* IBIC WEPG46 (2016)

Micro-Bunching Instability

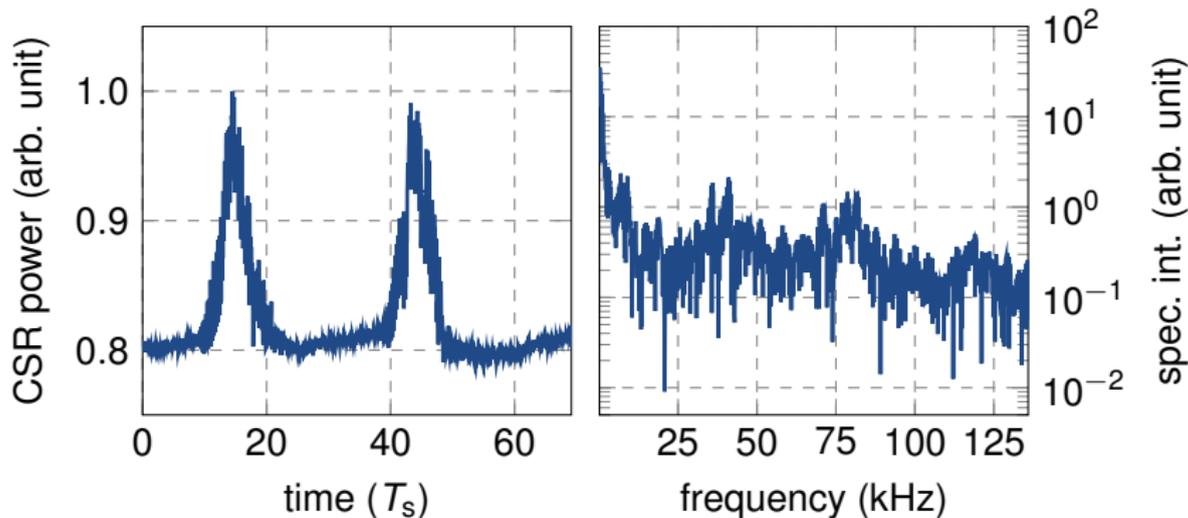
Occurrence of Micro-Structures within the Electron Bunch

- electro-optical near-field setup at KARA enables the measurement of longitudinal bunch profiles
- small micro-structures within the electron bunch can be observed



Micro-Bunching Instability

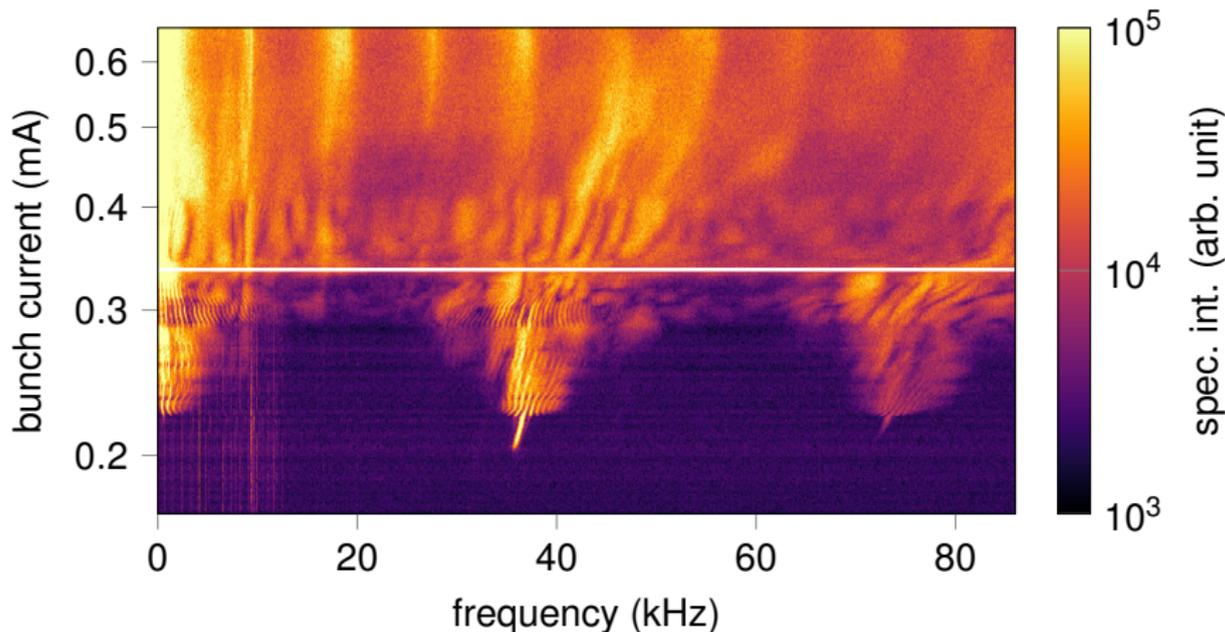
Fluctuations of the emitted CSR Power



⇒ micro-structure dynamics lead to fluctuating CSR emission

Micro-Bunching Instability

Beam Dynamics are changing with Bunch Current



⇒ CSR power spectrogram reveals distinct frequencies

Simulation Code Inovesa

VFP Solver to study the Longitudinal Dynamics



- in-house developed at KIT,
published as open source project:
<https://github.com/Inovesa/Inovesa>
- simulates longitudinal phase space density
- parallel plates model yields quite comparable results to measured data

Schönfeldt, P. *et al.* Parallelized Vlasov-Fokker-Planck solver for desktop personal computers.
Phys. Rev. Accel. Beams **20** (2017)

⇒ Inovesa enables comprehensive studies of the micro-bunching instability on low-noise data

Analysis of the Longitudinal Bunch Profiles using k -means

Motivation:

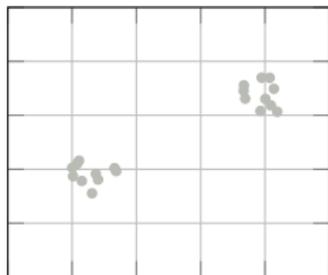
- identify the dominant micro-structures and their correlation to the fluctuating CSR emission
 - around 1.5 million bunch profiles in the data set corresponding to a simulated CSR power spectrogram
- ⇒ application of k -means to the bunch profiles within a specific bunch current

Investigation:

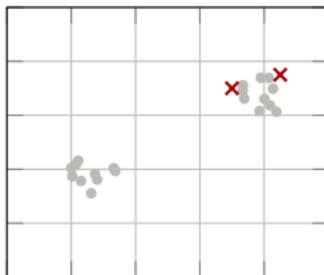
- Does the shape of these micro-structures follow a pattern or are they rather random fluctuations?
- Is it possible to characterize their nature by only a few different discrete states (clusters)?

Unsupervised Learning: Clustering Method k -means

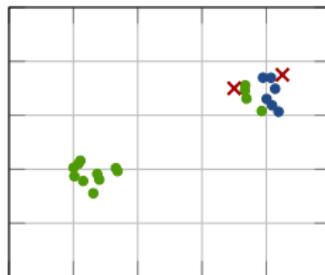
the data set



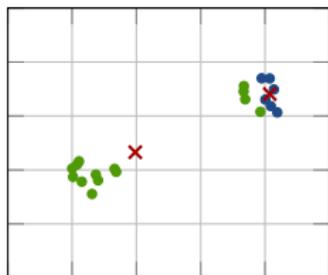
initialization



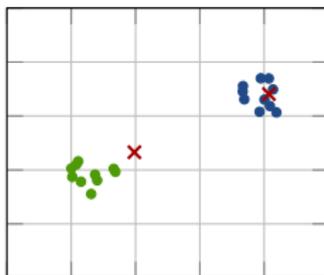
iter. #1: assignment



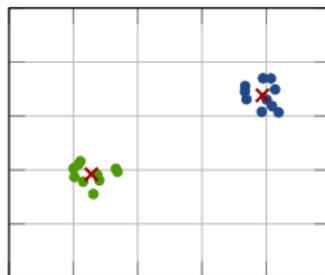
iter. #1: update



iter. #2: assignment

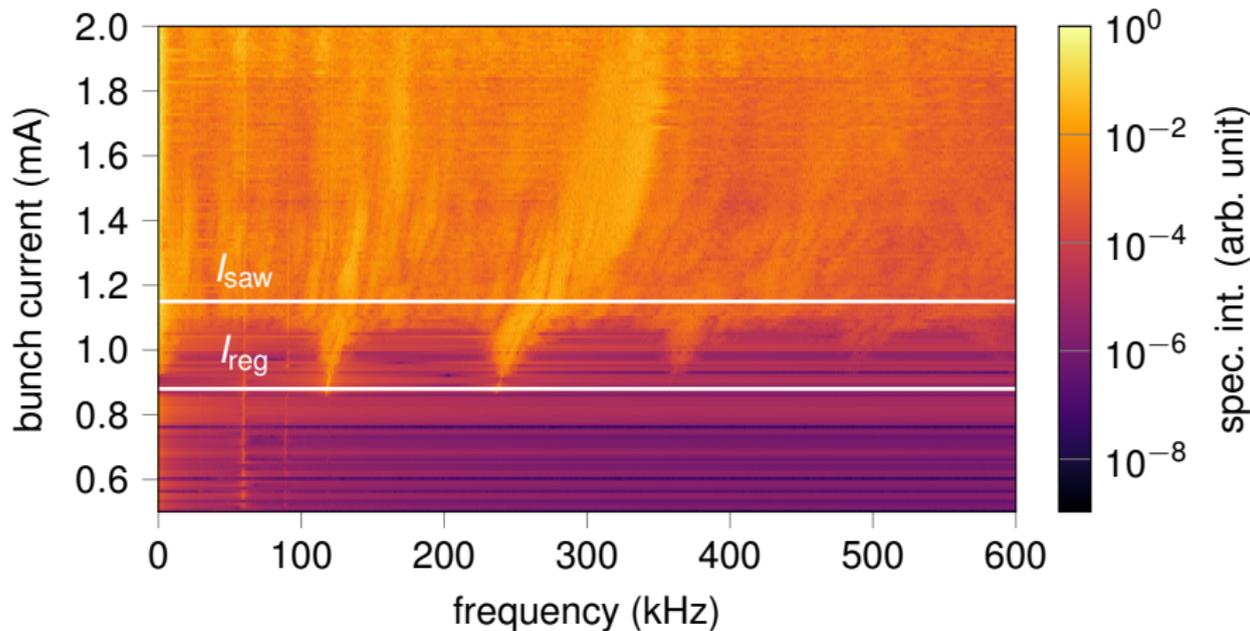


iter. #2: update



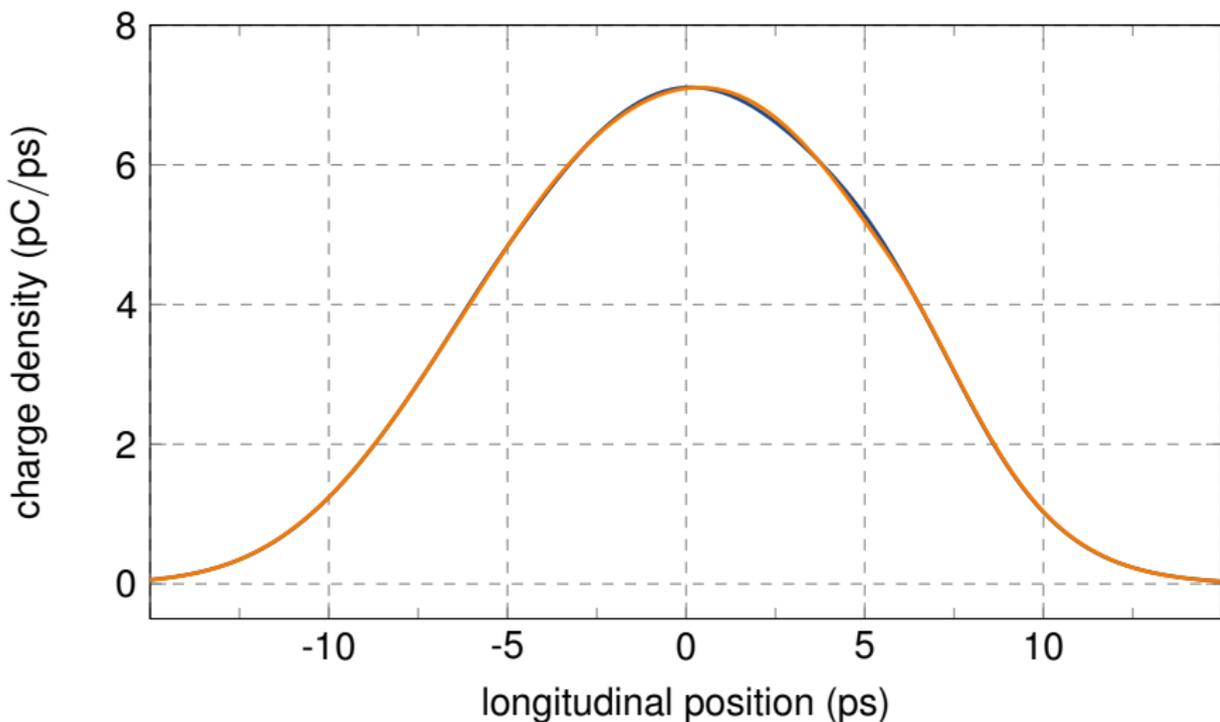
Analysis of Micro-Structure Dynamics

Different Bursting Regimes: Exemplary Bunch Currents



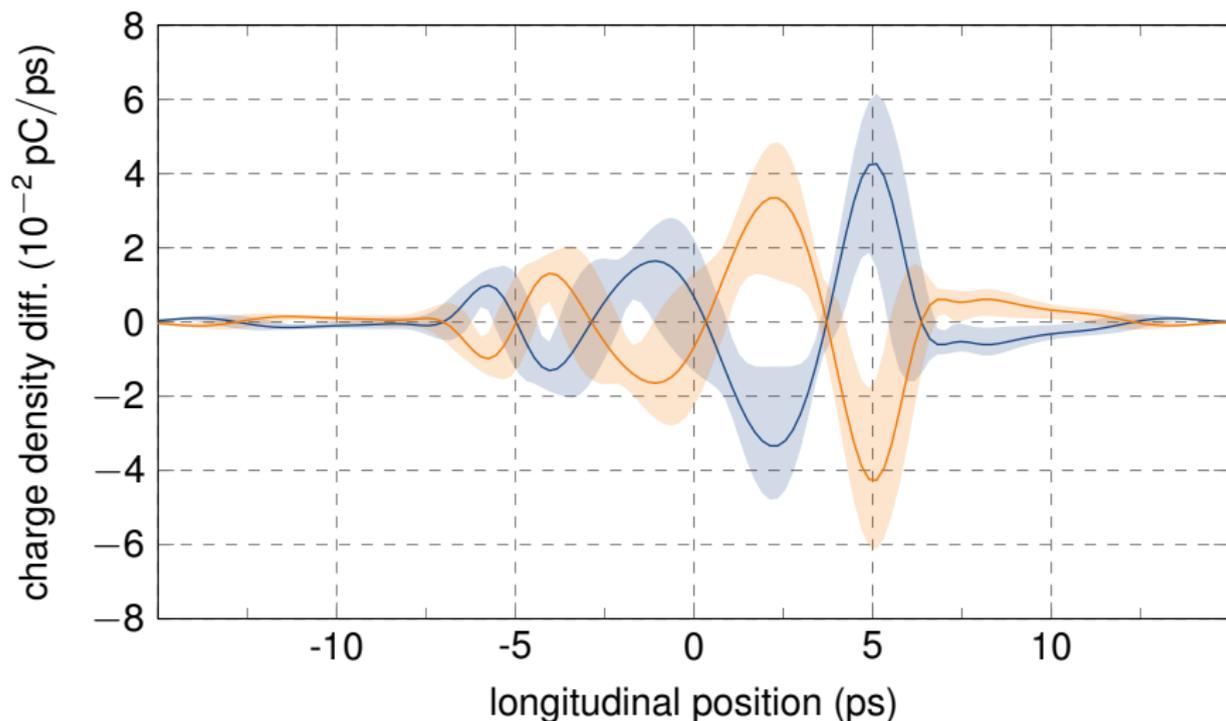
Regular Bursting Regime

Cluster Centers, $I_{\text{reg}} = 0.88 \text{ mA}$, $k = 2$ (10 000 profiles)



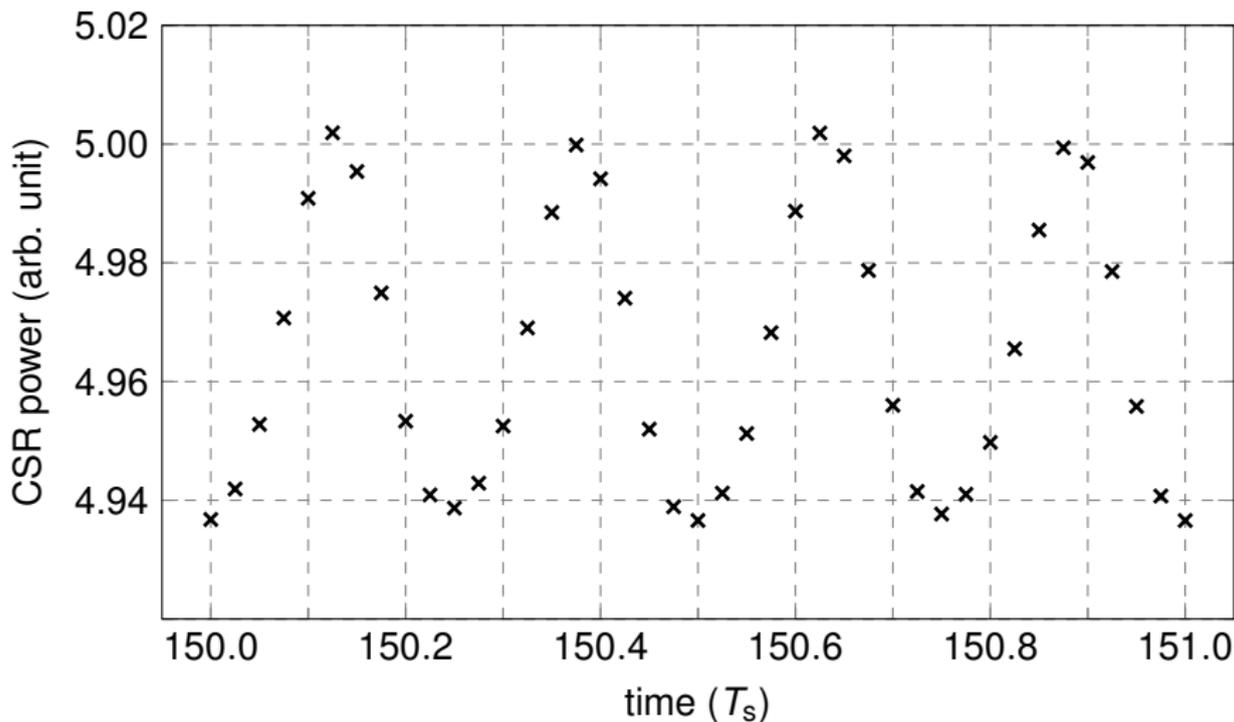
Regular Bursting Regime

Referenced Cluster Centers, $I_{\text{reg}} = 0.88 \text{ mA}$, $k = 2$



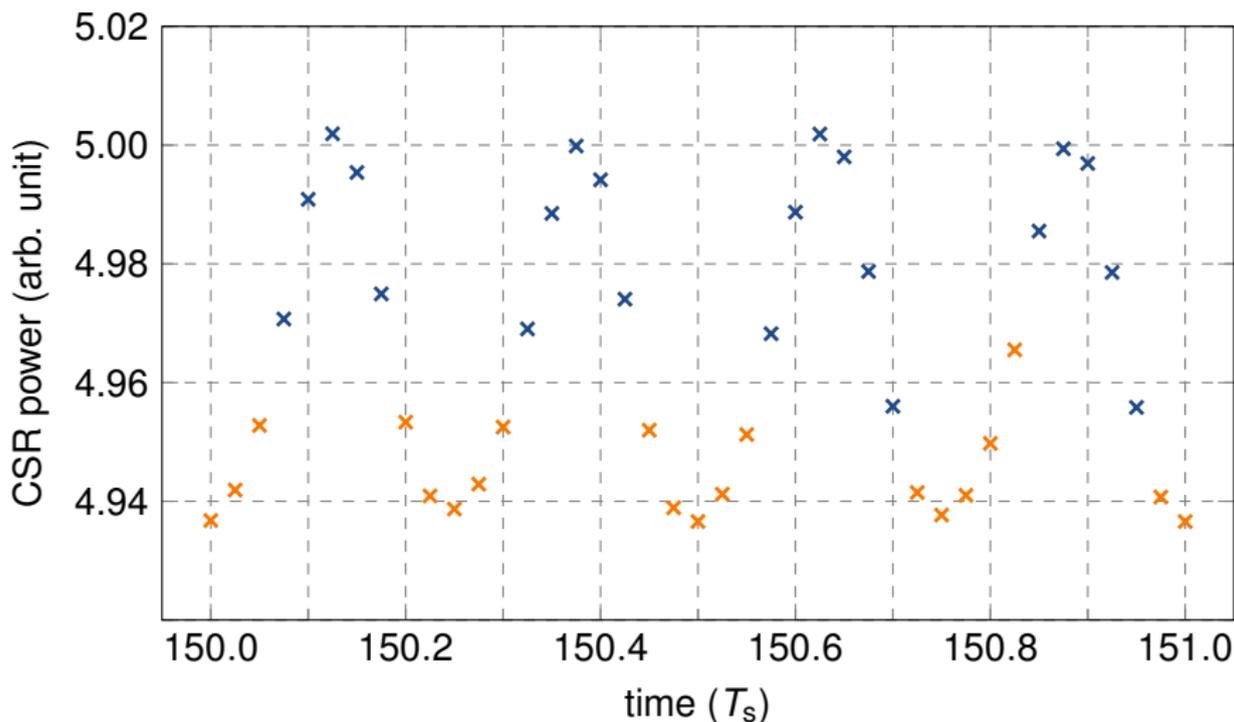
Regular Bursting Regime

Correlation to CSR Power, $I_{reg} = 0.88 \text{ mA}$, $k = 2$



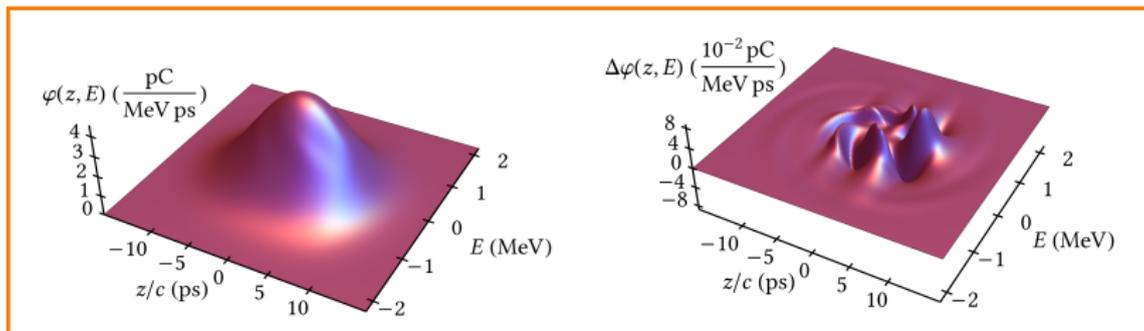
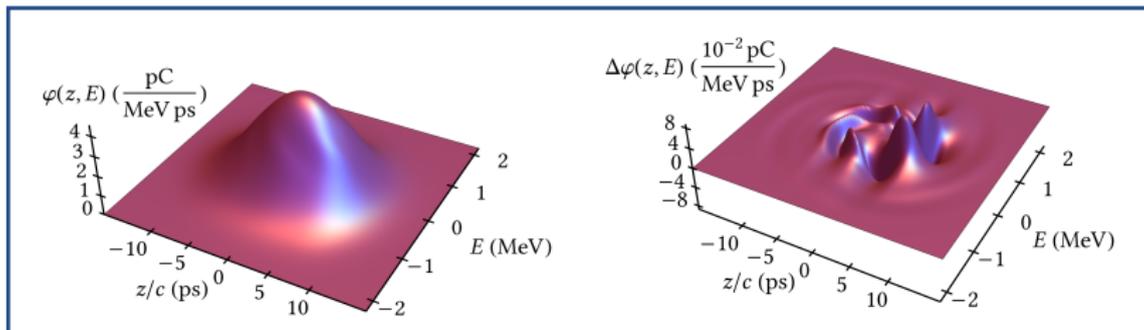
Regular Bursting Regime

Correlation to CSR Power, $I_{\text{reg}} = 0.88 \text{ mA}$, $k = 2$



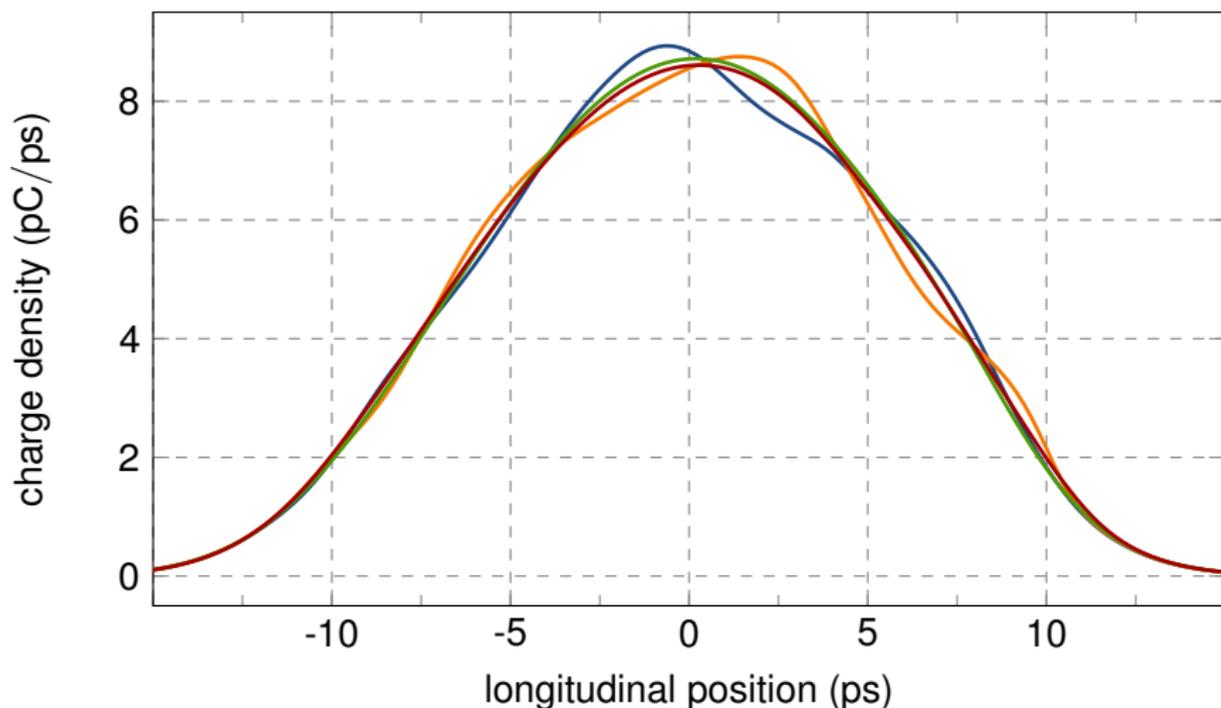
Regular Bursting Regime

Longitudinal Phase Space Density, $I_{\text{reg}} = 0.88 \text{ mA}$, $k = 2$



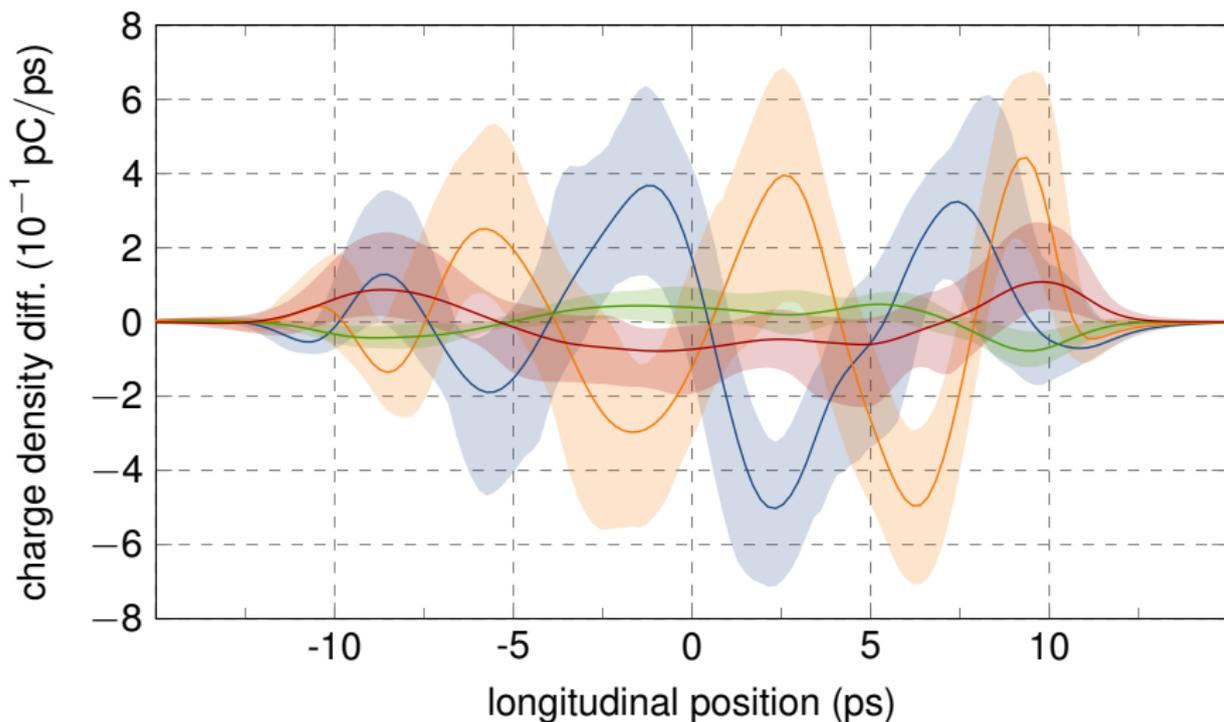
Sawtooth Bursting Regime

Cluster Centers, $I_{\text{saw}} = 1.15 \text{ mA}$, $k = 4$



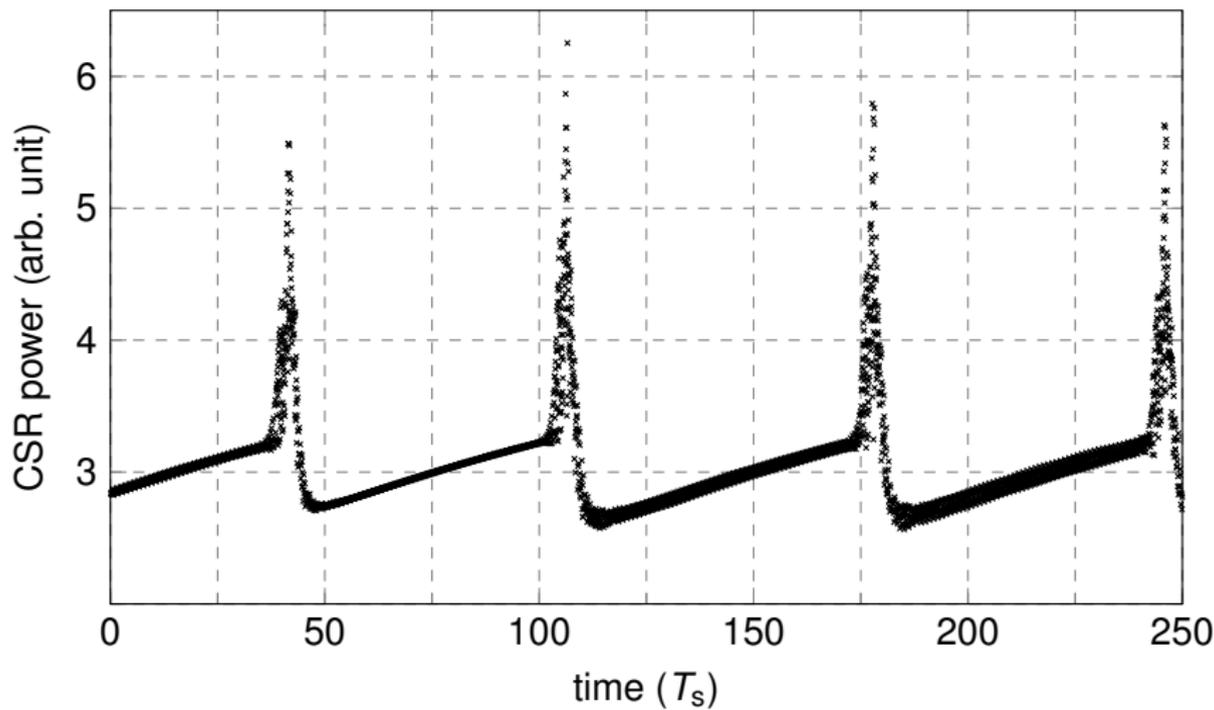
Sawtooth Bursting Regime

Referenced Cluster Centers, $I_{\text{saw}} = 1.15 \text{ mA}$, $k = 4$



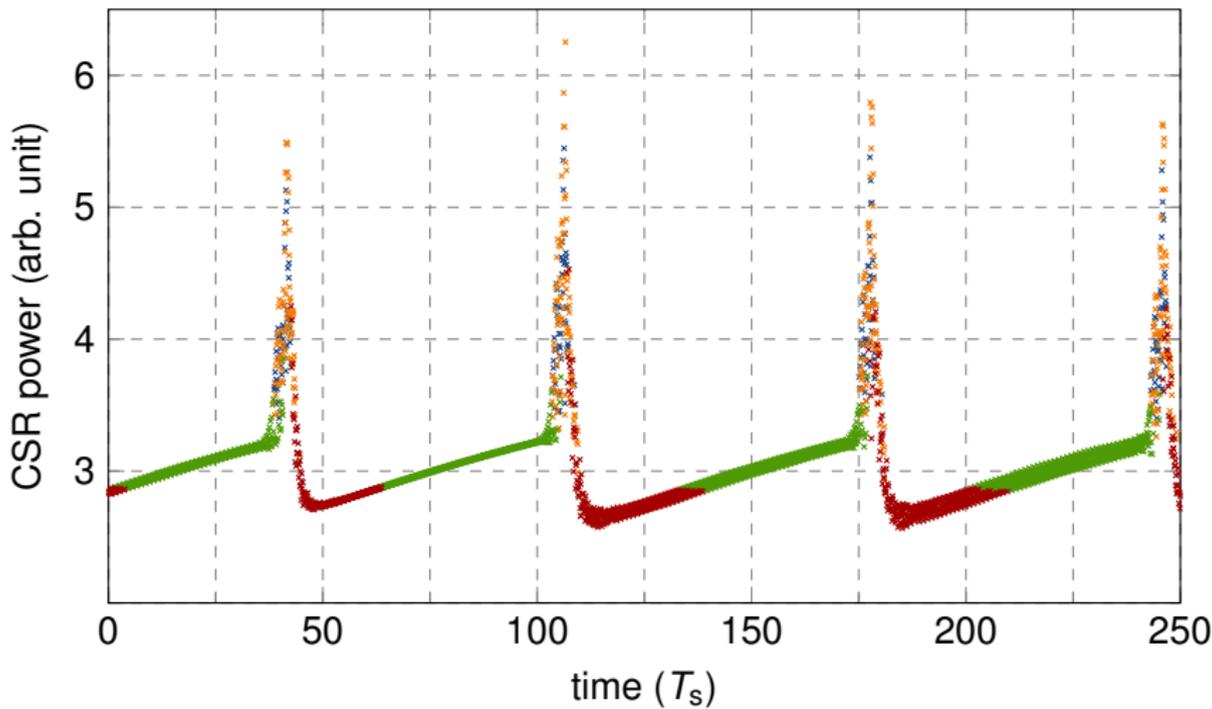
Sawtooth Bursting Regime

Correlation to CSR Power, $I_{\text{reg}} = 1.15 \text{ mA}$, $k = 4$



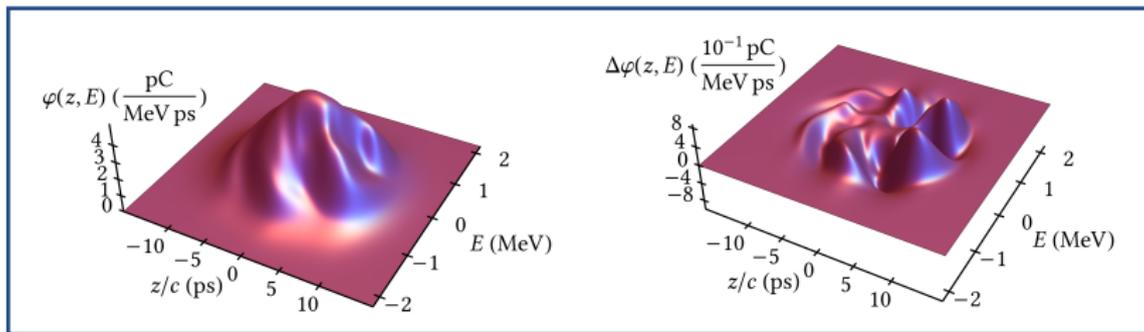
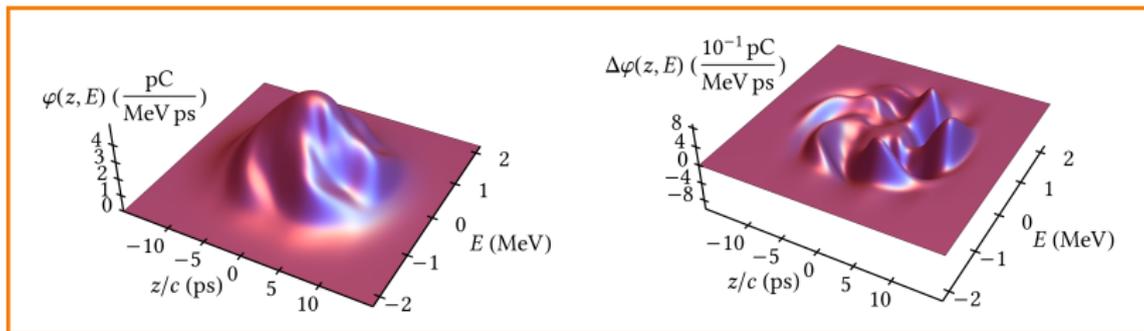
Sawtooth Bursting Regime

Correlation to CSR Power, $I_{\text{reg}} = 1.15 \text{ mA}$, $k = 4$



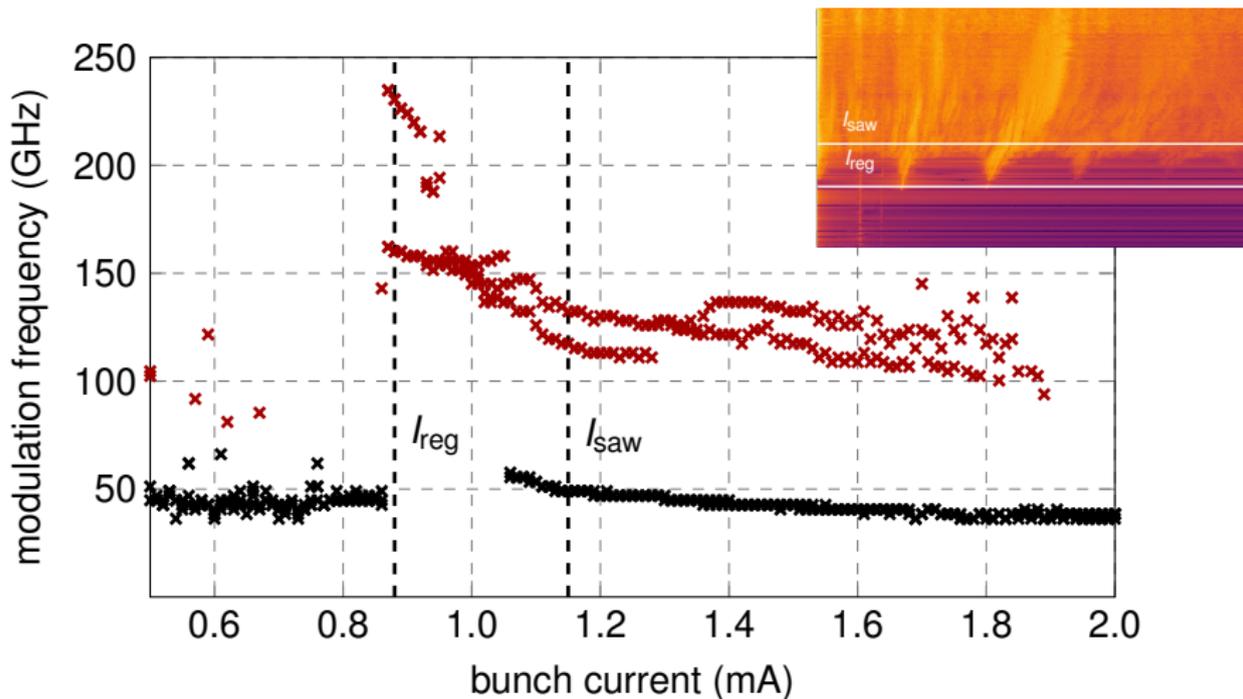
Sawtooth Bursting Regime

Longitudinal Phase Space Density, $I_{\text{saw}} = 1.15 \text{ mA}$, $k = 4$



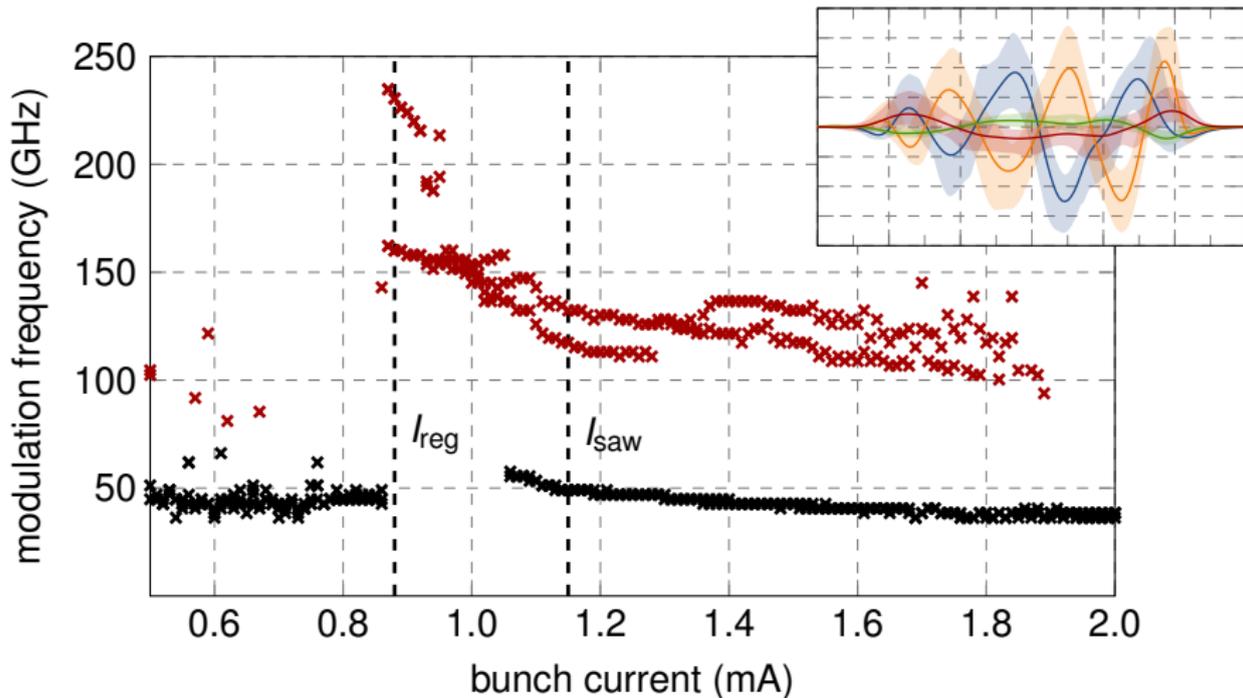
Micro-Structure Characteristics

Modulation Frequencies across different Bunch Currents



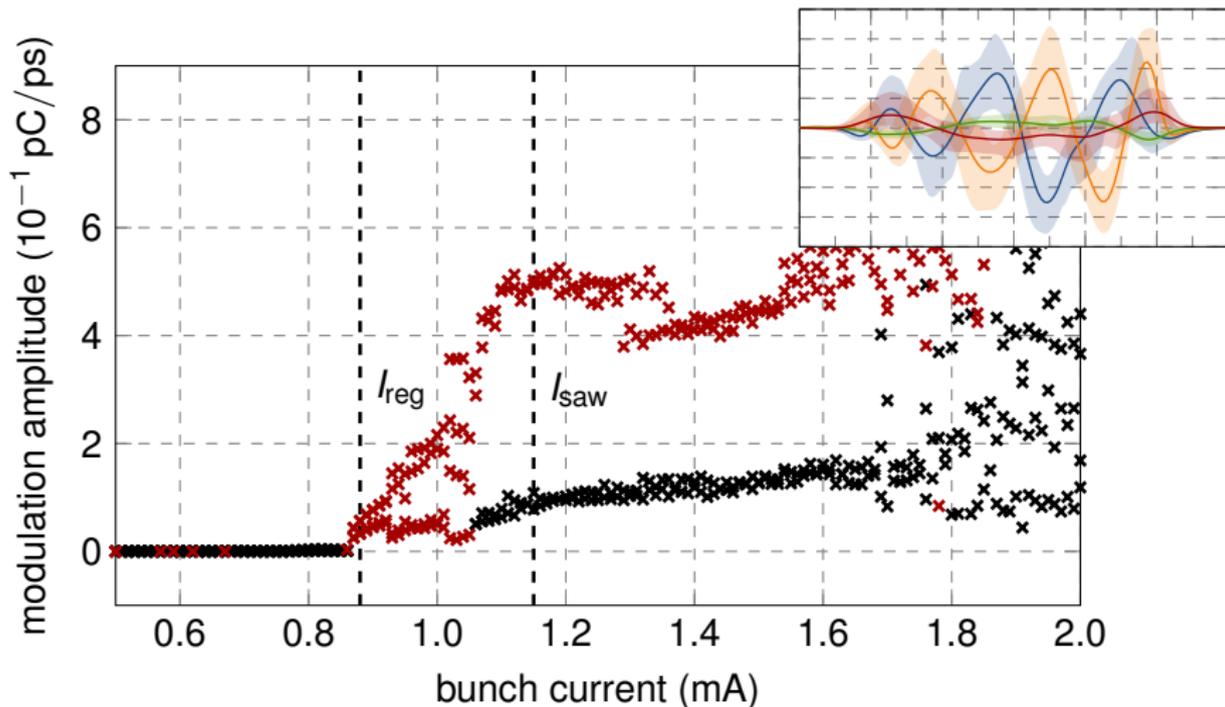
Micro-Structure Characteristics

Modulation Frequencies across different Bunch Currents



Micro-Structure Characteristics

Modulation Amplitudes across different Bunch Currents



Summary

What was gained by using Machine Learning?

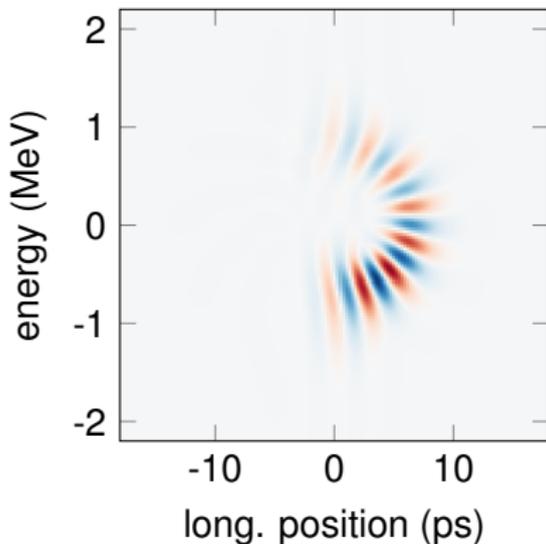
- efficient tool for data exploration and knowledge extraction
 - distinct micro-structures could be identified
 - yields the possibility to correlate the results to the fluctuations of the CSR power
 - new insights gained, e.g. number of structures is a constant across different bunch currents
- ⇒ still useful for further studies of the micro-structure characteristics as it yields very condensed information about the dynamics

Outlook

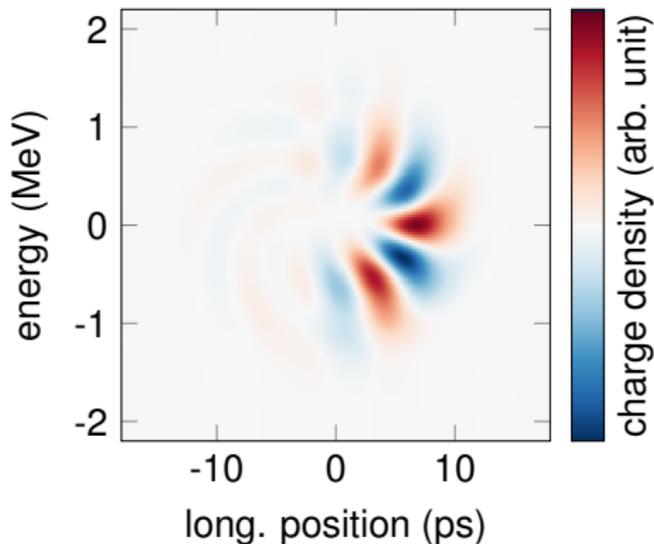
Further Studies using the Application of k -means

preliminary

16 mm vacuum gap



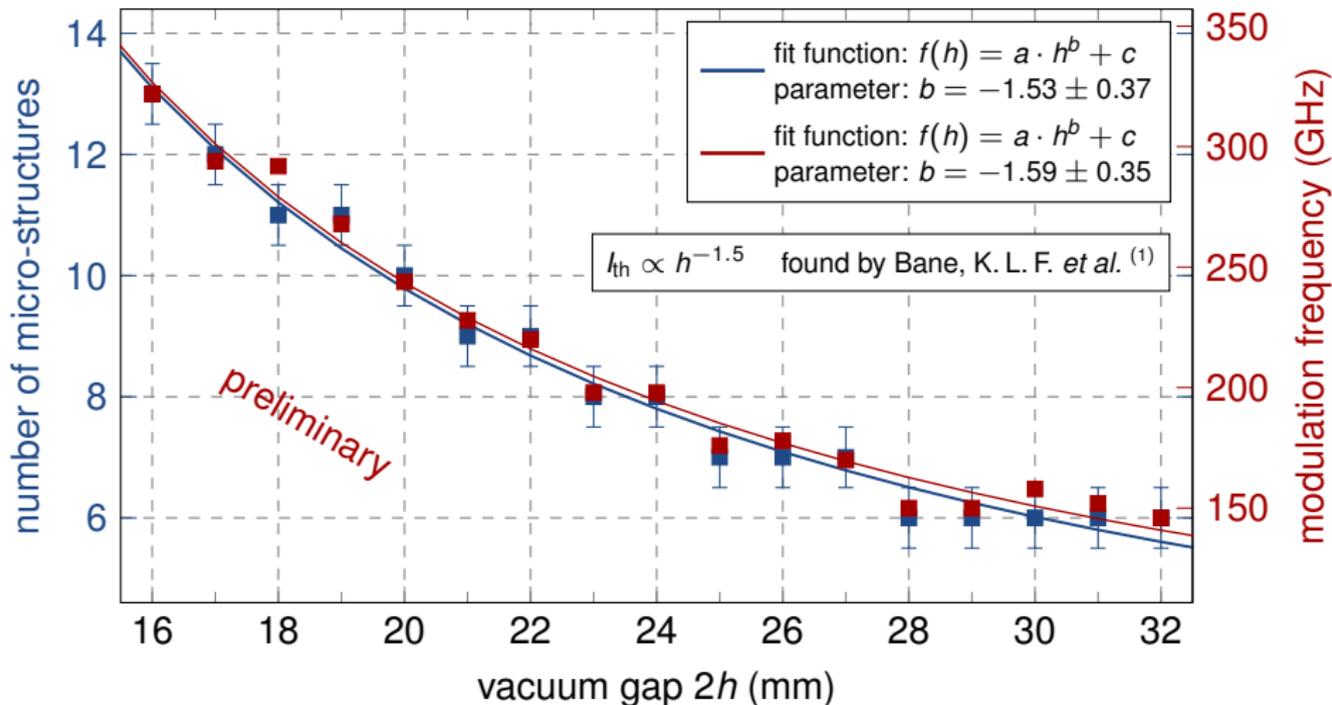
32 mm vacuum gap



⇒ number of micro-structures changes with vacuum gap

Outlook

Further Studies using the Application of k -means



(1) Bane, K. L. F., Y. Cai, and G. Stupakov Phys. Rev. ST Accel. Beams **13** (2010)

**Thank you for
your attention!**

Backup

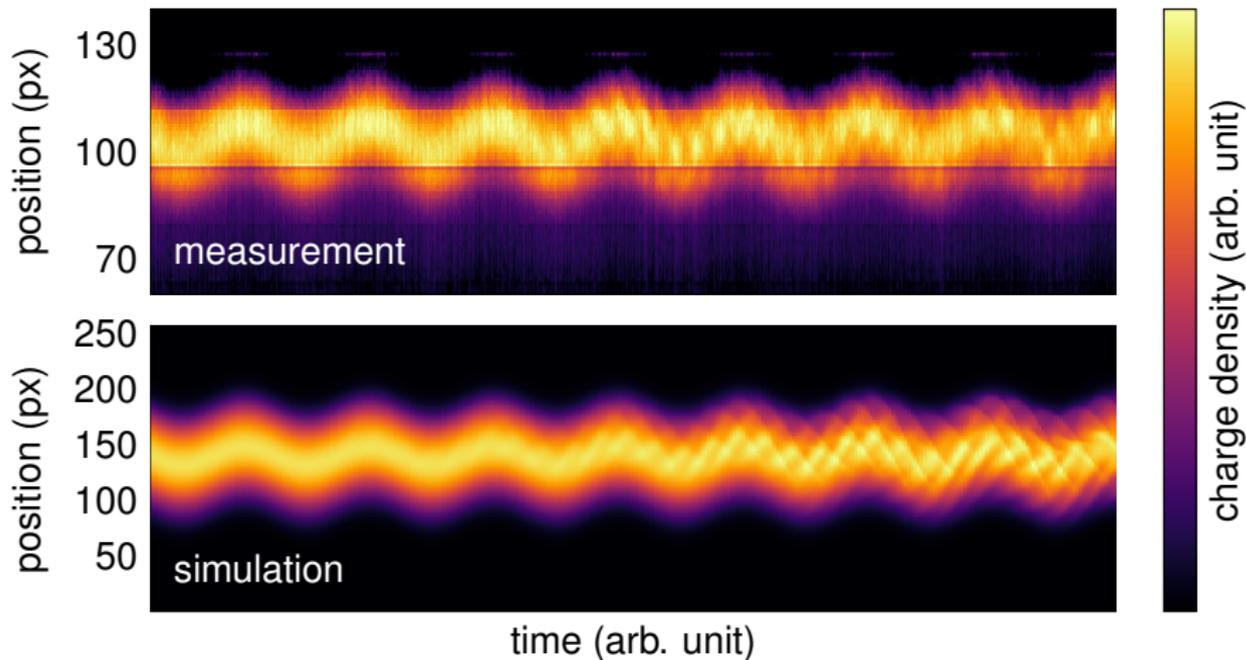
Simulation Parameters

Physical parameter	Value
RF voltage U_0	1 MV
revolution frequency f_{rev}	9 MHz
synchrotron frequency f_s	30 kHz
damping time τ_d	5 ms
harmonic number h	50
parallel plates distance g	3.2 cm
initial electron distribution $\varphi(z, E, t_0)$	2-dim. Gaussian
simulation time t	250 T_s
bunch current I_{bunch}	0.5 mA to 2.0 mA

Control parameter	Value
grid size n_{grid}	256
time steps n_{steps}	10 000

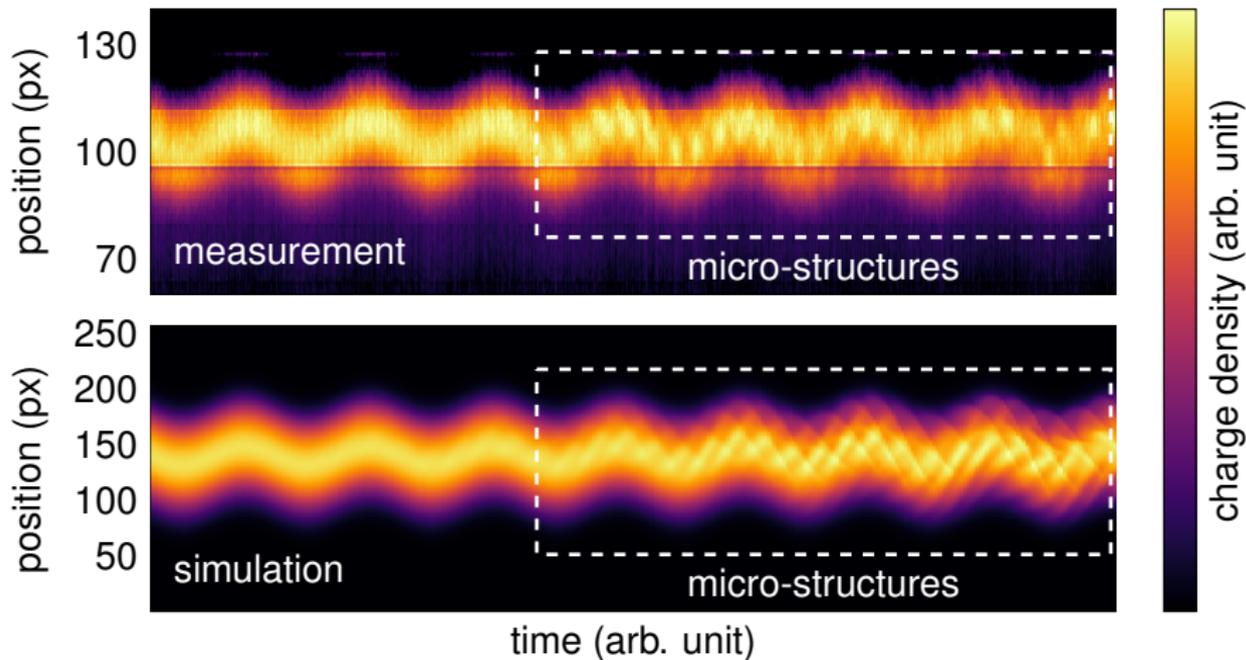
Backup

Simulation and Measurement: Longitudinal Bunch Profiles



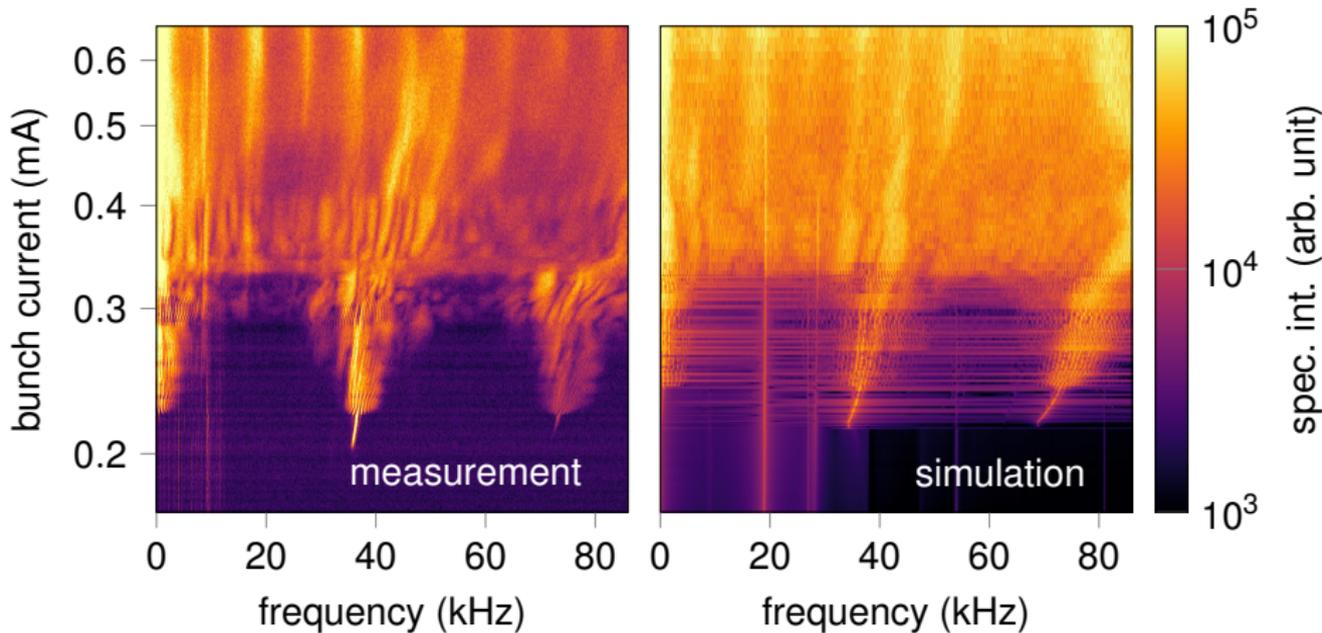
Backup

Simulation and Measurement: Longitudinal Bunch Profiles



Backup

Simulation and Measurement: CSR Power Spectrogram



⇒ simulation and measurement show qualitative agreement